

JINDAL SOUTH WEST HOLDINGS LIMITED

SHAREHOLDING PATTERN AS ON 30.06.2015

(I) (a) Statement showing Shareholding Pattern

SCRIP CODE : 532642	Name of Scrip : JSWHL	Class of Security : Equity	Quarter Ended : 30.06.2015
Category Code	<u>Partly paid up shares</u>	Number of partly paid up shares	As a % of total number of partly paid up shares
	Held by Promoter/Promoter group	NIL	NIL
	Held by Public	NIL	NIL
	Total	NIL	NIL
	<u>Outstanding Convertible Securities</u>	Number of outstanding Securities	As a % of total No. of outstanding Con. Securities
	Held by Promoter/Promoter group	NIL	NIL
	Held by Public	NIL	NIL
	Total	NIL	NIL
	<u>Warrants</u>	Number of warrants	As a % of total number of warrants
	Held by Promoter/Promoter group	NIL	NIL
	Held by Public	NIL	NIL
	Total	NIL	NIL
	Total paid up capital of the Company, assuming full conversion of warrants and convertible securities	1,10,99,625 shares of Rs.10/- each (Rs.11,09,96,250/-)	

Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demat form	Total shareholding as a percentage of total number of shares As a percentage of		Shares pledged or otherwise encumbered	
					(A+B) ¹	(A+B+C)	Number of Shares	As a %
					(VI)	(VII)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group²							
(1)	Indian							
(a)	Individuals/H.U.F	21	26742	26742	0.24	0.24		
(b)	Central/State Government(s)	0	0	0	0.00	0.00		
(c)	Bodies Corporate	13	6729294	6729294	60.63	60.63		
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00		
(e)	Any Other (specify)							
	Employee Welfare Trust	0	0	0	0.00	0.00		
	M&M Benefit Trust	0	0	0	0.00	0.00		
	Sub-Total (A)(1)	34	6756036	6756036	60.87	60.87	N.A.	N.A.
(2)	Foreign							
(a)	Non Resident Individuals/Foreign Nationals							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Any Other (specify)							
	Sub-Total (A)(2)	0	0	0	0.00	0.00	N.A.	N.A.
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	34	6756036	6756036	60.87	60.87	N.A.	N.A.
(B)	Public Shareholding³							
(1)	Institutions							
(a)	Mutual Fund/UTI	15	4591	3898	0.04	0.04		
(b)	Financial Institutions/Banks	12	11101	10483	0.10	0.10		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	0	0	0	0.00	0.00		
(f)	Foreign Institutional Investors	27	1877646	1876384	16.92	16.92		
(g)	Foreign Venture Cap. Inv	0	0	0	0.00	0.00		
	Sub-Total (B)(1)	54	1893338	1890765	17.06	17.06	N.A.	N.A.
(2)	Non Institutions							
(a)	Bodies Corporate	646	607797	603945	5.48	5.48		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	23961	1512537	1316914	13.63	13.63		
	ii) Holding nominal share capital in excess of Rs. 1 lakh	10	224643	224643	2.02	2.02		
(c)	Any Other(specify) Individual							
	Non-Resident Individual	1222	104832	68457	0.94	0.94		
	Foreign National	1	100	100	0.00	0.00		
	Overseas Corporate Bodies	1	25	0	0.00	0.00		
	Foreign Bodies	0	0	0	0.00	0.00		
	Trust	3	317	317	0.00	0.00		
	Clearing Member	0	0	0	0.00	0.00		
	Sub-Total (B)(2)	25844	2450251	2214376	22.08	22.08	N.A.	N.A.
	Total Public shareholding (B)=(B)(1)+(B)(2)	25898	4343589	4105141	39.13	39.13		
	TOTAL (A)+(B)	25932	11099625	10861177	100.00	100.00	N.A.	N.A.
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0.00	0.00		
2	Public	0	0	0	0.00	0.00		
	GRAND TOTAL (A)+(B)+(C)	25932	11099625	10861177	100.00	100.00	N.A.	N.A.

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of Shares held		Encumbered shares(*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
(a)	Individuals										
1	R K JINDAL & SONS HUF .	3708	0.03	0	0.00	0.00	NA	NA	NA	NA	0.03
2	SAJJAN JINDAL	2197	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
3	PRITHVI RAJ JINDAL	2097	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
4	SAVITRI DEVI JINDAL	1863	0.02	0	0.00	0.00	NA	NA	NA	NA	0.02
5	RATAN JINDAL	1470	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
6	S K JINDAL AND SONS HUF	1447	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
7	SMINU JINDAL	1381	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
8	DEEPIKA JINDAL	1356	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
9	SHRADHA JATIA	1257	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
10	ABHYUDAY JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
11	TARINI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
12	TRIPTI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
13	URVI JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
14	SANGITA JINDAL	1181	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
15	P R JINDAL HUF	1122	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
16	NAVEEN JINDAL	691	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
17	NAVEEN JINDAL	664	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
18	PARTH JINDAL	500	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
19	TANVI JINDAL	500	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
20	AARTI JINDAL	250	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
21	SAROJ BHARTIA	34	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
Sub - Total (a)		26742	0.24	0	0.00	0.00	NA	NA	NA	NA	0.24

Contd..

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group" (contd)

Sr.No.	Name of the shareholder	Details of Shares held		Encumbered shares(*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital)
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Nalwa Sons Investments Ltd	75	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
2	Nalwa Sons Investments Ltd	1137043	10.24	0	0.00	0.00	NA	NA	NA	NA	10.24
3	Reynold Traders Pvt. Ltd	320500	2.89	0	0.00	0.00	NA	NA	NA	NA	2.89
4	SAHYOG TRADCORP PRIVATE LIMITED	822674	7.41	0	0.00	0.00	NA	NA	NA	NA	7.41
5	OPJ TRADING PRIVATE LIMITED	822673	7.41	0	0.00	0.00	NA	NA	NA	NA	7.41
6	VIRTUOUS TRADECORP PRIVATE LIMITED	822673	7.41	0	0.00	0.00	NA	NA	NA	NA	7.41
7	DANTA ENTERPRISES PRIVATE LIMITED	822672	7.41	0	0.00	0.00	NA	NA	NA	NA	7.41
8	JSW INVESTMENT PVT LTD	554500	5.00	0	0.00	0.00	NA	NA	NA	NA	5.00
9	JINDAL COAL PRIVATE LIMITED	441518	3.99	0	0.00	0.00	NA	NA	NA	NA	3.99
10	GLEBE TRADING PRIVATE LIMITED	435511	3.92	0	0.00	0.00	NA	NA	NA	NA	3.92
11	JSL LIMITED	433828	3.91	0	0.00	0.00	NA	NA	NA	NA	3.91
12	VINAMRA CONSULTANCY PVT LTD	115293	1.04	0	0.00	0.00	NA	NA	NA	NA	1.04
13	Hexa Tradex Limited	334	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
Sub-Total (b)		6729294	60.63	0	0.00	0.00	NA	NA	NA	NA	60.63
Grand Total		6756036	60.87	0	0.00	0.00	NA	NA	NA	NA	60.87

(*) The term "encumbrance" has the same meaning as assigned to it, in regulation 28(3) of the SAST Regulations , 2011

(I)(c)(i) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares.								
Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital)
				No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
1	SPARROW ASIA DIVERSIFIED OPPORTUNITIES FUND	521000	4.69	NA	NA	NA	NA	4.69
2	STREAM VALUE FUND	427000	3.85	NA	NA	NA	NA	3.85
3	AQUARIUS INDIA OPPORTUNITIES FUND	133237	1.20	NA	NA	NA	NA	1.20
4	ACACIA PARTNERS,LP	219500	1.98	NA	NA	NA	NA	1.98
5	ACACIA INSTITUTIONAL PARTNERS,LP	171200	1.54	NA	NA	NA	NA	1.54
TOTAL		1471937	13.26	NA	NA	NA	NA	13.26

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company								
Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C)}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of
				No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
	NIL							
TOTAL		0	0.00	NA	NA	NA	NA	0

Promoter / Promoter Group / Public

(1) (d) Statement showing details of locked in shares				
Sr. No.	Name of shareholder	No. of locked-in shares	Locked-in shares as percentage of total no. of shares {i.e. Grand Total(A)+(B)+C indicated in statement at para(1)(a) above}	Promoter / Promoter Group / Public
	NIL	NIL	0.00	
	TOTAL	0	0.00	

(II) (a) Statement showing details of Depository Receipts (DRS)				
Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares {i.e. Grand Total (A)+(B)+C indicated in Statement at para (1)(a)above}
N.A.				
	TOTAL			

(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter / promoter group" are in excess of 1% of the total no.of shares				
Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares {i.e. Grand Total (A)+(B)+C indicated in Statement at para (1)(a)above}
N.A.				
	TOTAL			

